

09/529206
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DOCKET NO.: 2026-4269PC
FORMAT: ASCII
APPLICANT: The Government Of The United States Of
America et al.
TITLE: Novel Human Cancer Antigen NY ESO.
DATE RECORDED: 1999-01-25
COMPUTER/OS: IICAG-J And Gene Encoding Same
FILING DATE: 1998-09-21
SERIAL NO.: PCT/US98/19609
DHL MAIL NO.: 77065532175
M&F FILE (blue)
SEQUENCE LISTING

PATENT

Docket No.: 2026-4269PC

IN THE EUROPEAN PATENT OFFICE (ISA/EP)

Applicant : The Government of the United States of America, as represented by the Secretary, Department of Health and Human Services et al

International Application No.: PCT/US98/19609

International Filing Date : 21 September 1998 (21.09.1998)

For : **NOVEL HUMAN CANCER ANTIGEN
ESO-1/CAG-3 AND GENE ENCODING SAME**

VIA FACSIMILE
ORIGINAL AND COMPUTER READABLE DISK VIA DHL COURIER

International Searching Authority
European Patent Office, P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk
Netherlands

Attn: Mirella Deleye-Milani

RESPONSE TO INVITATION TO FURNISH
NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTING

In response to the Invitation to Furnish Nucleotide and/or Amino Acid Sequence Listing dated 02 December 1998, Applicants have provided herein:

- 1) A paper copy of a Sequence Listing; sheets numbered consecutively from 1 to 25 under WIPO Standard ST.23 (Exhibit A);
- 2) A computer readable copy of the sequence listing in ASCII format under WIPO Standard ST.23 (Exhibit B);
- 3) Replacement sheet 32/1 inserting Sequence Nos. 105 and 106(Exhibit C);

4) A Statement in compliance with Rule 27a(2) and 27a(3) EPC and 37 C.F.R. §1.821(f) and (g) indicating the information recorded in computer readable form is identical to the written sequence listing and that the sequence listing does not include matter which goes beyond the disclosure in the international application as filed (Exhibit D).

Please insert the paper copy of the sequence listing and replacement sheet 32/1 at the appropriate location in the above-identified patent application.

Applicants are of the belief that they have fully complied with WIPO Standard ST.23 for sequence listings. Should there be any outstanding matters relating to this submission, please contact the Applicants' Agent of Record.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:



Kathryn M. Brown
Agent for Applicants
Kathryn M. Brown

Dated: 26 January 1999

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09/529206

IN THE UNITED STATES

[X] RECEIVING OFFICE (RO/US)
 [] DESIGNATED OFFICE (DO/US)
 [] ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US98/19609	21 September 1998 (21.09.98)	08 October 1997 (08.10.97)
TITLE OF INVENTION		
<u>NOVEL HUMAN CANCER ANTIGEN NY ESO-1/CAG-3 AND GENE ENCODING SAME</u>		
APPLICANT(S)		
<u>Rong Fu WANG, et al.</u>		

Assistant Commissioner for Patents
 Box PCT
 Washington, D.C. 20231

Attention: RO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
 (INTERNATIONAL APPLICATION (37 CFR 1.10(c))

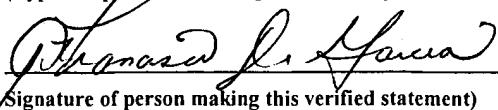
I declare that on 07 April 2000 deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EJ604727339US, addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed; Unsigned Combined Declaration and Power of Attorney; Copy of the first page of the International Application as published No. WO 99/18206; Copy of the International Preliminary Examination Report; Copy of Response to Invitation to Furnish nucleotide and/or amino Acid Sequence listing, with a computer readable copy of the sequence listing in ASCII format; Preliminary Amendment; Check for \$8,096.00 (filing fee) and Return postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Francisco J. Garcia
 (Typed or printed name of person making this verified statement)


 Signature of person making this verified statement)

Date 07 April 2000

(Verified Certification of Express Mailing Date (International Application) [13-12])